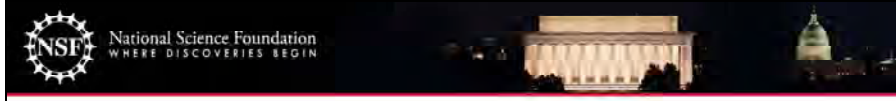


Panelist

Martha Rubenstein
Chief Financial Officer and Head, Office of Budget, Finance & Award Management



Ask Early, Ask Often!



Topics Covered

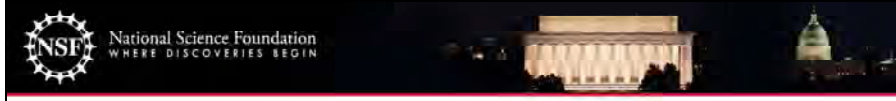
- **Origins of NSF**
- **NSF Organization**
- **NSF Budget in the Federal Context**
- **NSF Fiscal Year 2013 Budget Request**
- **Funding Trends**
- **ARRA Acceleration**
- **Research.gov**
- **Key Documents**
- **Questions**



Origins of NSF






- **The Government should accept new responsibilities for promoting the flow of scientific knowledge and the development of scientific talent in our youth.”**
– Science - The Endless Frontier, 1945
- **1947: Congress Approves, Truman Vetoes**
- **1950: Compromise Bill Approved & Signed by Truman**



NSF Act of 1950

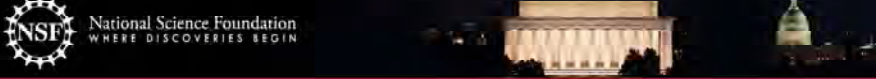
- **To promote the progress of science...**
- **NSB (24) and 1 Director, appointed by the President**
- **Encourage & develop a national policy for the promotion of basic research and education in the math, physical, medical, biological, engineering and other sciences**
- **Initiate & support basic scientific research in the sciences**
- **Evaluate the science research programs undertaken by agencies of the Federal government**
- **Provide information for S&E policy formation**

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WHERE DISCOVERIES BEGIN


NSF in a Nutshell

- Independent Agency
- Supports basic research & education
- Uses grant mechanism
- Low overhead; highly automated
- Discipline-based structure
- Cross-disciplinary mechanisms
- Use of Rotators/IPAs
- National Science Board

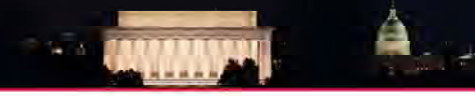


Personnel Update

- **Dr. Pramod P. Khargonekar appointed Assistant Director, Directorate for Engineering**
- **Dr. Roger M. Wakimoto appointed Assistant Director, Directorate for Geosciences**
- **Dr. F. Fleming Crim appointed Assistant Director, for Mathematical & Physical Sciences**
- **Dr. Wanda Ward appointed Head, Office of International & Integrative Activities**




National Science Foundation
WHERE DISCOVERIES BEGIN

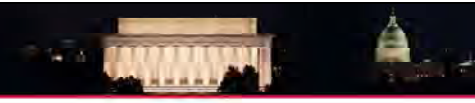


What to Watch

- **Up to March 27th and the expiration of the continuing resolution**
 - Does the sequester remain in place?
 - Final action on FY 2013 funding?
- **Next steps on FY 2014**
 - President's Budget to Congress (date TBD)



National Science Foundation
WHERE DISCOVERIES BEGIN



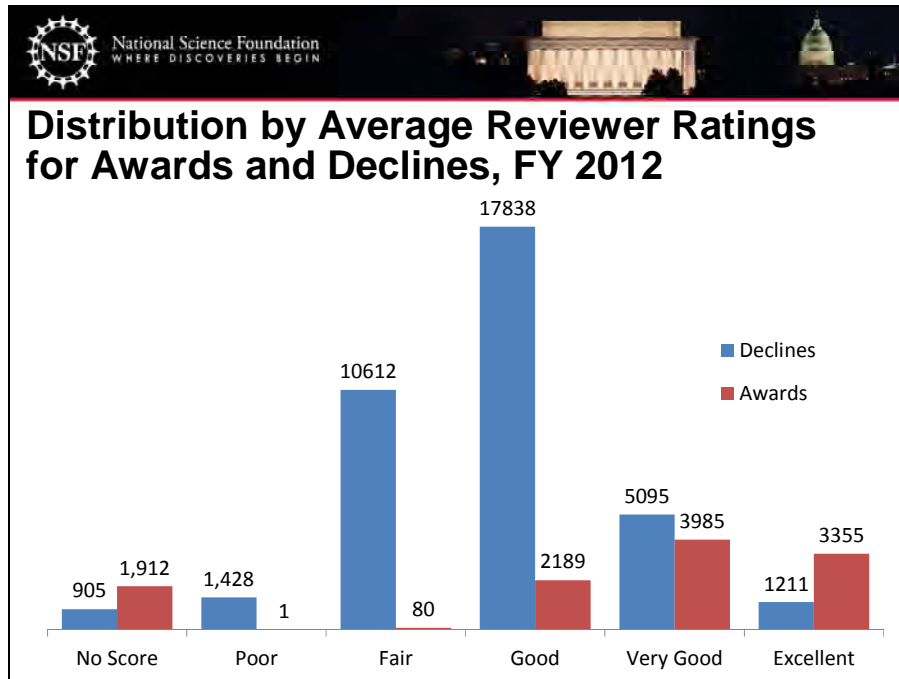
FY 2013 Sequestration Planning

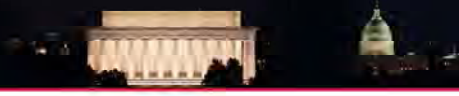

- **NSF Guiding Principles**
 - Protect commitments to NSF's core mission and maintain existing awards
 - Protect the NSF workforce
 - Protect STEM human capital development programs
- **Administration Guidance**
 - OMB Memorandum M-13-03
 - “Take into account funding flexibilities (i.e., reprogramming and transfer authority)”



FY 2013 Sequestration: Potential Impacts


- **No anticipated furloughs**
- **No anticipated reductions to continuing awards or ongoing construction projects**
- **Major impact on number of new research grants**
 - Reduction of nearly 1,000 research grants
 - Impact on nearly 12,000 people supported by NSF






ARRA Acceleration: What Recipients Need to Know

- **Emails sent to all Awardees/PIs in late September notifying them if award was or was not included on NSF waiver request list**
- **Awards on waiver request list**
 - Extensions and other no-cost actions okay if consistent with scope and original terms of award
 - NSF is prepared to stand by its determination that a compelling legal, policy, or operational rationale exists for allowing expenditures to continue beyond 9/30/13
- **Awards NOT on waiver request list**
 - Cannot be extended past 9/30/13
 - Other no-cost actions okay if consistent with scope and project completion by 9/30/13
 - Costs must be incurred by 9/30/13; all funds do not have to be drawn down – normal closeout (ACM\$)
- **Questions:** NSFARRAReviewer@nsf.gov



National Science Foundation
WHERE DISCOVERIES BEGIN

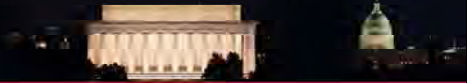
Key Documents



- Proposal & Award Policies & Procedures Guide (PAPPG)
- FY 2013 Budget Request to Congress
- Science & Engineering Indicators
- Report to the NSB on NSF Merit Review Criteria



National Science Foundation
WHERE DISCOVERIES BEGIN



Key Document Sites

- **Proposal & Award Policies & Procedures Guide**
http://www.nsf.gov/publications/pub_summ.jsp?ods_key=papp
- **Fiscal Year 2013 Budget Request**
<http://www.nsf.gov/about/budget/fy2013/index.jsp>
- **NSF Strategic Plan for Fiscal Years 2011-2016**
http://www.nsf.gov/news/strategicplan/nsfstrategicplan_2011_2016.pdf
- **NSB Report on Merit Review**
<http://www.nsf.gov/nsb/publications/2011/meritreviewcriteria.pdf>
